

CALIFORNIA LOBBYIST REPORT CODES

(As of 3 December 1999)

PURPOSE

This code list is to be used by filers of California Lobbyist Report forms who submit filings using the American National Standards Institute (ANSI), Accredited Standards Committee (ASC) X12, Electronic Data Interchange (EDI) transaction set 113, Election Campaign and Lobbyist Reporting.

This list is maintained by the California Secretary of State Office and is available at the California Secretary of State – Official Homepage, <http://www.ss.ca.gov/>, under the Political Reform section, Campaign Finance, Lobbyists, forms and reports.

Use of Codes

The code values in this list are used to represent data that is transmitted in the 113 transaction set. The migration from a paper-based method of filing to electronic filing provides opportunities to convert data from human readable to machine readable format using code values. For example, if a California form lists a number of statement/report types and the paper-based filing instructions tell the filer to check the block indicating which type of statement/report is being filed, there has to be a way of transmitting the same information in electronic form.

The way it is done to accommodate electronic filing is to assign a different code value to each statement/report type. Then, the electronic filer merely has to select which code represents the correct type statement/report. When transmitted and received, the code value will be interpreted as the correct type of statement/report. In this way, the assignment and transmission of the code have replaced both the paper form's check block and the human-readable statement/report type.

The code values are primarily used in data element 1271 in the Monetary Amount with Explanation (AWD) and in the Industry Code (LQ) segments of the 113 transaction set. In such usage, LQ01 shall cite code EAF and LQ02 shall cite a code from this code list. In the AWD segment, data element 1271 is at AWD01-09. The implementation conventions that specify the use of the 113 transaction set for transmitting data applicable to a form or schedule contain instructions regarding the use of the codes in this list

Content

The code values in this code list are grouped by usage. Each usage is identified in a section of the code list. A position number starting with 01 identifies the sections. All code values in this code list have the following structure: two numeric characters to identify a section in the code list, followed by a hyphen, followed by 1, 2, or 3, alpha/numeric characters.

SECTION/CODE

DEFINITION

01 - Administrative

These codes are used to represent the verification statements required on various disclosure forms and to provide statements regarding the report being submitted.

01-VR1

I have used all reasonable diligence in preparing and reviewing this report and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

01 - VR2

I have used all reasonable diligence in preparing this Report. I have reviewed the Report and to the best of my knowledge the information contained herein and in the attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

01 - VR3

I have used all reasonable diligence in preparing this Statement. I have reviewed this Statement and to the best of my knowledge the information contained herein is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

01 - VR4

I am a partner, owner, or officer of the lobbying firm of (the lobbying firm is identified in the 2/NM1/0700 segment as the filer)

I am the person responsible for filing statements and reports and keeping records required by Chapter 6 of the Political Reform Act (Government Code Sections 81000-91015). I have read and understand the prohibitions contained in Sections 86203 and 86205.

86203. It shall be unlawful for a lobbyist or a lobbying firm to make gifts to one person aggregating more than ten (\$10) in a calendar month, or to act as an agent or intermediary in the making of a gift, or to arrange for the making of any gift by another person.

“Gift” as used in section 86203 means a gift made directly or indirectly to any state candidate, elected state officer, or legislative official, or to an agency official of any agency required to be listed on the Registration Statement of the lobbying firm or the lobbyist employer of the lobbyist.

SECTION/CODE

DEFINITION

86205. No lobbyist or lobbying firm shall:

- (a) do anything with the purpose of placing any elected state officer, legislative official, agency official or state candidate under personal obligation to the lobbyist, the lobbying firm or the lobbyist's or the firm's employer.
- (b) Deceive or attempt to deceive any elected state officer, legislative official, agency official, or state candidate with regard to any material fact pertinent to any pending or proposed legislation or administrative action.
- (c) Cause or influence the induction of any bill or amendment thereto for the purpose of thereafter being employed to secure its passage or defeat.
- (d) Attempt to create a fictitious appearance of public favor or disfavor of any proposed legislative or administrative action or to cause any communication to be sent to any elected state officer, legislative official, agency official, or state candidate in the name of any fictitious person or in the name of any real person, except with the consent of the real person.
- (e) Represent falsely, either directly or indirectly, that the lobbyist or the lobbying firm can control the official action of any elected state officer, legislative official, or agency official.
- (f) Accept or agree to accept any payment in any way contingent upon the defeat, enactment, or outcome of any proposed legislation or administrative action.

01 - VR5

I hereby authorize (identify name and address of lobbying firm in the 2/NM1/0700 loop) to engage in the activities of a lobbying firm (as defined in California Government Code Section 82038.5 and 2 Cal. Code of Regs. Section 18238.5) on behalf of the above named employer.

01 - VR6

I have not taken the Lobbyist Ethics Orientation Course within the previous 12 months. I will attend the course within the next 12 months.
(Applicable for a new certification)

01 - VR7

I have not taken the Lobbyist Ethics Orientation Course within the previous 12 months. I will attend the course by June 30 of the next calendar year.
(Applicable for a renewal)

SECTION/CODE

DEFINITION

01 - Administrative

These codes are used to represent the verification statements required on various disclosure forms and to provide statements regarding the report being submitted.

01 - VR9

I will lobby the agencies identified on the Lobbyist Employer or Lobbying Firm Registration Statement (Form 601/603) and subsequent amendments.

01 - VR0

I will only lobby the identified agencies. (Identify the agencies in the 2/NM1/0700 segment)

01 - VRA

I will lobby the state legislature.

01 - VRB

I will not lobby the state legislature

01 - VRC

I will attempt to influence the state legislature

01 - VRD

I will not attempt to influence the state legislature

01 - VRE

By signing the verification statement, I certify that I have read and understand that I am subject to the prohibitions contained in the Government Code Sections 86203 and 86205.

01 - VRF

I certify that all activities which required registration under Government Code Section 86100, et seq. have ceased. If this notice is filed more than 20 days after the effective date for which all activities were terminated, I understand that I must file quarterly reports covering the entire period until the filing of this notice.

I understand that a lobbyist or lobbying firm remains subject to the gift prohibition in Government Code Section 86203 for six months after filing this notice of termination.

I have used all reasonable diligence in preparing this Notice. I have reviewed this Notice and to the best of my knowledge the information contained herein is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

01 - VRG

I have used all reasonable diligence in preparing this Notice. I have reviewed this Notice and to the best of my knowledge the information contained herein is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

01 - VRH

I have not met the qualification requirements to register as a lobbyist within the meaning of Government Code Section 82309

SECTION/CODE

DEFINITION

and Regulation 18239.

01 - Administrative

These codes are used to represent the verification statements required on various disclosure forms and to provide statements regarding the report being submitted.

01 – VRI

I have not met the qualification requirements to register as a lobbyist within the meaning of Government Code Section 82308.5 and Regulation 18238.5.

01 - MLC

Firm is a Member of a Lobbying Coalition

01 - NML

Firm is not a Member of a Lobbying Coalition

01 - LR

Lobbyist Report is Being Submitted

01 - DC

Engaged in Direct Communications.

02 - Report Information

These codes are used to provide information related to lobbyist reports.

02 - NCC

No Campaign Contributions Made this Period

02 - P2A

Part II has been Completed and is Attached

02 - P3A

Part III Completed and Attached

02 - P4A

Part IV Completed and Attached

02 - NCR

I Have No Contributions to Report

02 - NCM

No Campaign Contributions Made this Period

02 - NPR

I have Reviewed the Form and Instructions for Reporting Activity Expenses and I have Nothing to Report.

02 - M50

More Than 50

02 - L50

50 or Less

03 -Type of Report

These codes identify the type of report that is being filed.

03 - RLE

Report of Lobbyist Employer

03 - RLC

Report of Lobbying Coalition

03 - LER

Lobbyist Employer Registration Statement

03 - LCR

Lobbyist Coalition Registration Statement

04 - Industry Group Classification

These codes describe the industry group that a lobbying firm represents.

04 - AGR

Agriculture

04 - EDU

Education

04 - GOV

Government

04 - HEA

Health

SECTION/CODE**DEFINITION**

04 - LAB

Labor Unions

04 - LEG

Legal

04 - PUB

Public Employees

04 - POL

Political Organizations

**04 - Industry Group
Classification****These codes describe the industry group that a
lobbying firm represents.**

04 - UTL

Utilities

04 - IOT

Other (Provide detailed description)

04 - BOT

Other Business Category

04 - ENT

Entertainment/Recreation

04 - FIN

Finance/Insurance

04 - LOG

Lodging/Restaurants

04 - MAN

Manufacturing/Industrial

04 - MER

Merchandise/Retail

04 - OIL

Oil and Gas

04 - PRO

Professional/Trade

04 - REA

Real Estate

04 - TRN

Transportation

05 - Monetary Amount**These codes are used to further qualify an amount.**

05 - A3

Attributed Amount

05 - A4

Amount \$250 or more

05 - A5

Amount under \$250

06 - Type of Lobbyist**These codes are used to identify the type of
lobbyist.**

06 - IND

Individual

06 - BUS

Business Entity

06 - ASN

Industry, Trade or Professional Association

06 - OTH

Other